

## **SECURITIES REGULATIONS – PROFESSOR LUNA – FIRST ASSIGNMENT**

### **WEEK ONE**

Before first class, please watch The Market Crash of 1929, available online at <http://www.pbs.org/wgbh/americanexperience/films/crash/>

(CB = Casebook; SEA = Securities Exchange Act)

Introduction                      CB 1-17, CB 22-47,

Materiality                      CB 48-66, SEA Rule 10b-5, CB 66-98, SA § 8(c); Reg S-K Items  
401, 404